

Topological Spaces and Continuous Functions

TOPOLOGICAL SPACES

Definition: Topology

A topology on a set X is a collection T of subsets of X , with the following properties:

1. $\emptyset, X \in T$.
2. If $u_\alpha \in T, \alpha \in A$, then $\bigcup_{\alpha \in A} u_\alpha \in T$.
3. If $u_i \in T, i = 1, \dots, n$, then $\bigcap_{i=1}^n u_i \in T$.

The elements of T are called open sets.

Examples

1. $T = \{\emptyset, X\}$ is an indiscrete topology.
2. $T = 2^X = \text{set of all subsets of } X$ is a discrete topology.

Definition: Finer, Coarser

T_1 is finer than T_2 if $T_2 \subset T_1$. T_2 is coarser than T_1 .

BASIS FOR A TOPOLOGY

Definition: Basis

A collection of subsets B of X is called a basis for a topology if:

1. The union of the elements of B is X .
2. If $x \in B_1 \cap B_2$, $B_1, B_2 \in B$, then there exists a B_3 of B such that $x \in B_3 \subset B_1 \cap B_2$.

Examples

1. B is the set of open intervals (a, b) in \mathbb{R} with $a < b$. For each $x \in \mathbb{R}$, $x \in (x - \frac{1}{2}, x + \frac{1}{2})$.
2. B is the set of all open intervals (a, b) in \mathbb{R} where $a < b$ and a and b are rational numbers.
3. Let T be the collection of subsets of \mathbb{R} which are either empty or are the complements of finite sets. Note that $(A \cup B)^c = A^c \cap B^c$ and $(A \cap B)^c = A^c \cup B^c$. This topology does not have a countable basis.

Claim

A basis B generates a topology T whose elements are all possible unions of elements of B . That is, the topology generated by B is the collection of arbitrary unions of the subsets of B .

Proof: Have to prove that if $u_1 \cup \dots \cup u_n \in T$ then $u_1 \cap \dots \cap u_n \in T$. By induction, it suffices to prove that if $u_1 \cup u_2 \in T$ then $u_1 \cap u_2 \in T$. If $x \in u_1 \cap u_2$, then there exists $u_1 = \bigcup_{\alpha \in A} B_\alpha$, $\alpha \in A$ and $u_2 = \bigcup_{\gamma \in G} B_\gamma$, $\gamma \in G$. Also there exists B_{α_x} , $\alpha_x \in A$ and B_{γ_x} , $\gamma_x \in G$ such that $x \in B_{\alpha_x} \cap B_{\gamma_x}$, so $x \in B_{\alpha\gamma}$ such that $B_{\alpha\gamma} \subset B_{\alpha_x} \cap B_{\gamma_x}$. So $u_1 \cap u_2 = \bigcup_x B_{(\alpha\gamma)_x}$.

Examples

1. S , the standard topology on \mathbb{R} , is generated by the basis of open intervals (a, b) where $a < b$.
2. The open sets of F are complements of finite sets and \emptyset .

3. A basis for another topology on \mathbb{R} is given by half open intervals $[a, b)$, $a < b$. It generated the lower limit topology L .
 4. The open intervals (a, b) , $a < b$ with a and b rational is a countable basis. It generateds the same topology as S .
- Claim: S is finer than F , and L is finer than S .

Proposition

Suppose β and β' are bases for topologies T and T' on the same space X . If they have the property that for every $B \in \beta$ and $x \in B$ there exists $B' \in \beta'$ such that $x \in B' \subset B$, then T' is finer than T .

Proof: If $B \in \beta$ and $x \in B$, there exists $B_x' \in \beta'$ such that $x \in B_x' \subset B$ and $B_x' \in \beta'$. $B = \bigcup_{x \in B} B_x' \in T'$. So every $B \in \beta$ is in T' .

Definition: Sub-Base

A sub-base for a topology on X is a collection β of subsets on X satisfying $\bigcup_{B \in \beta} B = X$.

We build a basis by taking all finite intersections of the elements of β .

THE SUBSPACE TOPOLOGY

Relative Topology

Given a topology T on X and a subset Y of X , T induces a topology T_Y on Y called the relative topology.
 $T_Y = \{t \cap Y \mid t \in T\}$.

Check that T_Y is a topology:

1. $\emptyset = \emptyset \cap Y$, $Y = X \cap Y$.
2. Let $S_\alpha \in T_Y$, $S_\alpha = T_\alpha \cap Y$. So $\bigcup S_\alpha = (\bigcup T_\alpha) \cap Y$.
3. $S_1 \cap \dots \cap S_n = (T_1 \cap Y) \cap \dots \cap (T_n \cap Y) = (T_1 \cap \dots \cap T_n) \cap Y$.

CLOSED SETS AND LIMIT POINTS

Definition: Closed

A subset $A \subset X$ a topological space is closed if A^c is open.

Properties of Closed Sets

1. \emptyset , X are closed.
2. If A_β , $\beta \in B$ is closed, then $\bigcap_{\beta \in B} A_\beta$ is closed.

Proof: $\left(\bigcap_{\beta \in B} A_\beta\right)^c = \bigcup_{\beta \in B} A_\beta^c$ is open.

3. If A_1, \dots, A_n are closed, then $\bigcup_{i=1}^n A_i$ is closed.

Examples

Consider the standard topology on \mathbb{R} .

1. Let $x \in \mathbb{R}$. $\{x\}$ is closed.

2. $I = [a, b]$ is closed.

Definition: Interior, Closure

Let X be a topological space. Let $A \subset X$. The interior of A , denoted $\overset{\circ}{A}$, is the largest open set in A . The closure \bar{A} is the smallest closed set containing A .

Proposition

$x \in \overset{\circ}{A}$ if and only if there exists an open U such that $x \in U \subset A$.

Proof:

(\Rightarrow) $x \in \overset{\circ}{A}$, take $U = \overset{\circ}{A}$.

(\Leftarrow) If $x \in U \subset A$, U open, then $\overset{\circ}{A} \cup U = \overset{\circ}{A}$ is open and contained in A . So $U \subset \overset{\circ}{A}$ and $x \in \overset{\circ}{A}$.

Proposition

$x \in \bar{A}$ if and only if for all open U , $x \in U$, $U \cap A \neq \emptyset$.

Proof:

(\Rightarrow) If $x \in \bar{A}$, $x \in U$ and $U \cap A = \emptyset$, then U^c is closed and contains A . So $\bar{A} \cap U^c$ is closed and contains A , but $x \notin \bar{A} \cap U^c$ which is smaller than \bar{A} .

(\Leftarrow) Now suppose $x \in U$, $U \cap A \neq \emptyset$. Consider \bar{A}^c , which is open. If $x \in \bar{A}^c$, then $A \cap \bar{A}^c \neq \emptyset$. Contradiction. So $x \in \bar{A}$.

Definition: Limit Point

Let $A \subset X$. x is a limit point of A iff every open set U , $x \in U$, intersects A in a point different from x .

Proposition

Let A' be the set of limit points of A . Then $\bar{A} = A \cup A'$.

Proposition

$f: X \rightarrow Y$ is continuous if and only if $f(\bar{A}) \subset \overline{f(A)}$ for all $A \subset X$.

Proof:

(\Rightarrow) Suppose f is continuous and $x \in \bar{A}$. To prove $f(x) \in \overline{f(A)}$, it suffices to prove that if V is open, $f(x) \in V$, then $V \cap f(A) \neq \emptyset$. Now $f^{-1}(V)$ is open and $x \in f^{-1}(V)$ so $f^{-1}(V) \cap A \neq \emptyset$. So $V \cap f(A) \neq \emptyset$.

(\Leftarrow) Suppose $C \in Y$ is closed. Then $f(f^{-1}(C)) \subset C$, and $f(\overline{f^{-1}(C)}) \subset \overline{f(f^{-1}(C))} \subset C$. So $f^{-1}(C) = \overline{f^{-1}(C)}$. Hence $f^{-1}(C)$ is closed.

Lemma

A is closed if and only if $A = \bar{A}$.

Definition: Hausdorff

A topological space X is a Hausdorff space if given any two points $x, y \in X$, $x \neq y$, there exists neighbourhoods U_x of x , U_y of y such that $U_x \cap U_y = \emptyset$.

Definition: T_1

A topological space X is a T_1 if given any two points $x, y \in X$, $x \neq y$, there exists neighbourhoods U_x of x such that $y \notin U_x$.

Proposition

If the topological space X is T_1 or Hausdorff, points are closed sets.

CONTINUOUS FUNCTIONS

Definition: Continuity

Let X and Y be topological spaces. A function $f: X \rightarrow Y$ is continuous if $f^{-1}(U)$ is open in X for every open set U in Y .

Definition: Neighbourhood

An open set containing x is called a neighbourhood of x .

Definition: Continuity Pointwise

Let X and Y be topological spaces. A function $f: X \rightarrow Y$ is continuous at $x \in X$ iff $f^{-1}(U(f(x)))$ contains a neighbourhood of x for all neighbourhoods $U(f(x))$ of $f(x)$.

Theorem

Let X and Y be topological spaces. $f: X \rightarrow Y$ is continuous if and only if it is continuous at every $x \in X$.

Proof:

(\Rightarrow) Let $x \in X$ and $U(f(x))$ be a neighbourhood of $f(x)$. Then $f^{-1}(U(f(x)))$ is a neighbourhood of x .

(\Leftarrow) Let $U \subset Y$ be open. Let $x \in f^{-1}(U)$. Then $f(x) \in U$, so $f^{-1}(U)$ contains a neighbourhood V_x of x .

$\bigcup_{x \in f^{-1}(U)} V_x = f^{-1}(U)$ is open.

Example

$f(x) = \begin{cases} 0 & \text{if } x < 0 \\ 1 & \text{if } x \geq 0 \end{cases}$ is continuous in the lower limit topology of \mathbb{R} , but not in the standard topology.

Identifying Some Continuous Function

X and Y topological spaces.

1. $\text{Id}: X \rightarrow X$ is continuous.

Proof: $\text{Id}^{-1}(U) = U$.

2. If $y \in Y$, then $f: X \rightarrow Y$, $f(x) = y$, $\forall x \in X$ (a constant function) is continuous.

3. If $f: X \rightarrow Y$ is continuous and $A \subset X$ has the relative topology, then $(f|_A): A \rightarrow Y$ is continuous.

Proof: $(f|_A)^{-1}(U) = f^{-1}(U) \cap A$.

4. If $A \subset X$ has the relative topology, $i: A \rightarrow X$, $i(a) = a$ is continuous.

5. If $f(X)$ is given the relative topology in Y and $f: X \rightarrow Y$ is continuous, then $f: X \rightarrow f(X)$ is continuous.

Proof: If U is open in $f(X)$, there exists V open in Y such that $U = V \cap f(X)$ so $f^{-1}(U) = f^{-1}(V)$ is open.

Proposition

If $f: X \rightarrow Y$ is continuous and $g: Y \rightarrow Z$ is continuous, then $g \circ f: X \rightarrow Z$ is continuous.

Proof: If U is open in Z , then $(g \circ f)^{-1}(U) = f^{-1}(g^{-1}(U))$ is open.

Proposition

Let $\pi_i, i=1,2$ be the projection on the i -th factor (so $\pi_1(y_1, y_2) = y_1$ and $\pi_2(y_1, y_2) = y_2$). The π_i 's are continuous.

Proof: If U is open in Y_1 , $\pi_1^{-1}(U) = U \times Y_2$ which is open.

Proposition

$f: X \rightarrow Y_1 \times Y_2$ is continuous if and only if $\pi_i \circ f = f_i$ is continuous for $i=1,2$.

Proof:

(\Rightarrow) f and π_i are continuous, so $\pi_i \circ f$ is continuous.

(\Leftarrow) $\pi_i \circ f$ is continuous means given U_i , $(\pi_i \circ f)^{-1}(U_i)$ is open, but $f^{-1}(U_1 \times U_2) = (\pi_1 \circ f)^{-1}(U_1) \cap (\pi_2 \circ f)^{-1}(U_2)$ is open (using basis, etc.).

Proposition

The product topology is the coarsest topology with the property that $f: X \rightarrow Y_1 \times Y_2$ is continuous if and only if $f_i: X \rightarrow Y_i$ is continuous.

Proof: $\text{Id}: Y_1 \times Y_2 \rightarrow Y_1 \times Y_2$ is continuous. So $\pi_i \circ \text{Id}$ is continuous if U_1 is open in Y_1 and U_2 is open in Y_2 . Now $U_1 \times Y_2$ is open in $Y_1 \times Y_2$ and $Y_1 \times U_2$ is open in $Y_1 \times Y_2$.

Proposition

$f: X \rightarrow Y$ is continuous if and only if $f^{-1}(C)$ is closed for all closed C in Y .

Proof: $f^{-1}(C) = (f^{-1}(C^c))^c$.

Definition: Homeomorphism

A 1-1 onto map $f: X \rightarrow Y$ whose inverse $f^{-1}: Y \rightarrow X$ is also continuous is called a homeomorphism. X and Y are said to be homeomorphic.

Proposition

Suppose X is Hausdorff or T_1 , and x is a limit point of X . Then any neighbourhood U of x contains infinitely many distinct points of X .

Proof: Suppose U is a neighbourhood of x , and U has only n distinct points x_1, \dots, x_n where $x_i \neq x$. Then there exists U_i neighbourhood of x such that $x_i \notin U_i$. Then $U \cap U_1 \cap \dots \cap U_n$ is a neighbourhood of x and $U \cap U_1 \cap \dots \cap U_n$ has only x in it. Contradiction.

Definition: Convergence of Sequences

The sequence $x_i, i \in \mathbb{N}$ converges to x in X iff for any neighbourhood U of x , there exists N such that $x_i \in U$ for all $i > N$. x is called a limit point of $x_i, i \in \mathbb{N}$, written as $\lim x_i = x$ or $x_i \rightarrow x$.

Proposition

If X is Hausdorff, then the limit points are unique; that is, if $x_i \rightarrow x$ and $x_i \rightarrow y$, then $x = y$.

Proof: Assume otherwise. Then there exists neighbourhoods U_x of x and U_y of y such that $U_x \cap U_y = \emptyset$. So $x_i, i \in \mathbb{N}$ can't converge to both x and y .

Proposition

Suppose X is Hausdorff and $x_i \rightarrow x$. Then $\{x_i \cup x\}$ is closed.

Proposition

Suppose $x \in X$ and x is not a limit point of X , then $\{x\}$ is open.

Definition: Open Cover

Let $U_\alpha, \alpha \in A$ be open sets in X . Then $U_\alpha, \alpha \in A$ is called an open cover if $X = \bigcup_{\alpha \in A} U_\alpha$.

Proposition

Let $f: X \rightarrow Y$ be a function. Let $U_\alpha, \alpha \in A$ be an open over. Then f is continuous if and only if $f|_{U_\alpha}$ is continuous for all $\alpha \in A$.

Proof: Suppose $f|_{U_\alpha}$ is continuous for all $\alpha \in A$ and $V \subset Y$ is open. Then $f^{-1}(V) = \bigcup_{\alpha \in A} (f|_{U_\alpha})^{-1}(V)$. $(f|_{U_\alpha})^{-1}(V)$ is open in U_α , so it is open in X . Hence $f^{-1}(V)$ is open in X .

Pasting Lemma

Suppose $A, B \subset X$ are closed, $f: A \rightarrow Y$ and $g: B \rightarrow Y$, and $f = g$ on $A \cap B$. Let h be defined on $A \cup B$, $h = f$ on A and $h = g$ on B . Then $h: A \cup B \rightarrow Y$ is continuous.

Proof: Let $C \subset Y$ be closed. $g^{-1}(C)$ is closed in B and $f^{-1}(C)$ is closed in A , so $h^{-1}(C) = f^{-1}(C) \cup g^{-1}(C)$ is closed since $A \cup B$ is closed.

Proposition

$f: X \rightarrow Y$ is continuous if and only if $f^{-1}(U)$ is open for every element U of a basis B of the topology on Y .

Proof: If U is open in Y , then $U = \bigcup_{\alpha \in A} V_\alpha$ for some collection V_α of basis elements in B . Then $f^{-1}(U) = f^{-1}(\bigcup_{\alpha \in A} V_\alpha) = \bigcup_{\alpha \in A} f^{-1}(V_\alpha)$, which is an union of open sets, so open.

THE PRODUCT TOPOLOGY

Examples: Product Spaces

- X, Y sets. $X \times Y = \{(x, y) | x \in X, y \in Y\}$.
- X_α sets indexed by $\alpha \in A$. The product $\prod_{\alpha \in A} X_\alpha$ is functions from A to X_α such that α goes into X_α .

Definition: Product Topology

Let X, Y be sets with topologies T_X and T_Y . We define a topology $T_{X \times Y}$ on $X \times Y$ called the product topology by taking as basis all sets of the form $U \times W$ where $U \in T_X$ and $W \in T_Y$.

Note: $\bigcup_{\alpha \in A} X_\alpha \times \bigcup_{\beta \in B} Y_\beta = \bigcup_{(\alpha, \beta) \in A \times B} X_\alpha \times Y_\beta$. So a basis for T_X and a basis for T_Y generate a basis for $T_{X \times Y}$.

Examples

1. Standard \times standard on \mathbb{R}^2 ; basis is open rectangles.
2. Standard \times standard \times standard on \mathbb{R}^3 ; basis is open cubes.

Definition: Box Topology

Let $X_\alpha, \alpha \in A$ be topological spaces. A basis of open sets of a topology on $\prod_{\alpha \in A} X_\alpha$ is $\prod_{\alpha \in A} U_\alpha$ where U_α is open in X_α . The topology it generates is called the box topology.

Note: If we allow $U_\alpha \neq X_\alpha$ for finitely many $\alpha \in A$, we get the product topology.

Remarks

- Box topology is finer than the product topology.
- For fixed $a \in A$, $\pi_a: \prod_{\alpha \in A} X_\alpha \rightarrow X_a$ is continuous.

Proposition

The product topology is the unique topology with the property that $f: Y \rightarrow \prod_{\alpha \in A} X_\alpha$ is continuous if and only if $\pi_a \circ f: Y \rightarrow X_a$ is continuous for each $a \in A$.

Proposition

If $X_\alpha, \alpha \in A$ are Hausdorff, so is $\prod_{\alpha \in A} X_\alpha$ with the product or box topology.

Proof: If $x, y \in \prod_{\alpha \in A} X_\alpha$ and $x \neq y$, then $x_{\alpha_0} \neq y_{\alpha_0}$ for some $\alpha_0 \in A$. Let U_x and U_y be open sets in X_{α_0} such that $x_{\alpha_0} \in U_x$ and $y_{\alpha_0} \in U_y$ and $U_x \cap U_y = \emptyset$. Then $U_x \times \prod_{\alpha \neq \alpha_0} X_\alpha$ and $U_y \times \prod_{\alpha \neq \alpha_0} X_\alpha$ separate x and y .

Proposition

If $A_\alpha \subset X_\alpha$ is closed, so is $\prod A_\alpha \subset \prod X_\alpha$.

Proof: Let $x \in (\prod A_\alpha)^c$. Then $x_{\alpha_0} \in (A_{\alpha_0})^c$ for some α_0 . So $x \in (A_{\alpha_0})^c \times \prod_{\alpha \neq \alpha_0} X_\alpha = (\prod A_\alpha)^c$ is an open set.

THE METRIC TOPOLOGY

Definition: Metric

A metric on a set X is a function $d: X \times X \rightarrow \mathbb{R}_+$ such that for all $x, y, z \in X$:

1. $d(x, y) = d(y, x)$.
2. $d(x, y) = 0$ iff $x = y$.
3. $d(x, y) + d(y, z) \geq d(x, z)$ (triangle inequality).

Definition: Metric Space

A metric space is a set X with a distance function d .

Definition: Open Ball

The open ball of radius $r > 0$ around $x \in X$ is $B_r(x) = \{y \in X \mid d(x, y) < r\}$.

Lemma

If $y \in B_r(x)$, then $B_s(y) \subset B_r(x)$ for $s < r - d(x, y)$.

Proof: If $z \in B_s(y)$, then $d(x, z) \leq d(x, y) + d(y, z) < d(x, y) + s < d(x, y) + r - d(x, y) = r$.

Proposition

The open balls are a basis of a topology on X .

Proof: Let $z \in B_r(x) \cap B_s(y)$. Let $\varepsilon < \min(r - d(x, z), s - d(y, z))$. Then $B_\varepsilon(z) \subset B_r(x) \cap B_s(y)$.

Example

Let X be any non-empty set. Set $d(x, y) = 1$ if $x \neq y$ for all $x, y \in X$, and $d(x, x) = 0$. Then $B_{\frac{1}{2}}(x) = \{x\}$. This metric generates the discrete topology.

Definition: Metrizable

A topological X is metrizable if there exists a metric d on set X that induces the topology of X .

Proposition

A metric space is Hausdorff.

Proof: If $x \neq y$, then $d(x, y) > 0$. So $B_{\frac{d(x, y)}{3}}(x) \cap B_{\frac{d(x, y)}{3}}(y) = \emptyset$, since if $z \in B_{\frac{d(x, y)}{3}}(x) \cap B_{\frac{d(x, y)}{3}}(y)$ then $d(x, z) < \frac{1}{3}d(x, y)$ and $d(y, z) < \frac{1}{3}d(x, y)$, but $d(x, y) \leq d(x, z) + d(z, y) < \frac{2}{3}d(x, y)$, so contradiction.

Examples

- If X has at least 2 points, then the indiscrete topology is not metrizable.
- The topology \mathcal{F} (complements of finite sets on \mathbb{R}) is not Hausdorff and not metrizable.

Example

On \mathbb{R} , $d(x, y) = |x - y|$ is a metric on \mathbb{R} which gives the standard topology.

Proposition

If d_1 and d_2 are metrics on X , and for each $x \in X$, $r_1, r_2 \in \mathbb{R}_+$ there exists $s_1, s_2 \in \mathbb{R}_+$ such that $B_{s_1}^{d_1}(x) \subset B_{r_1}^{d_2}(x)$ and $B_{s_2}^{d_2}(x) \subset B_{r_2}^{d_1}(x)$, then these two metrics generate the same topologies.

Proposition

If d_1 and d_2 are metrics on X and there exists $c_1, c_2 > 0$ such that $c_1 d_1(x, y) \leq d_2(x, y) \leq c_2 d_1(x, y)$ for all $x, y \in X$, then these two metrics generate the same topologies.

Definition: Bounded

A metric space X is bounded (or has finite diameter) if there exists $k > 0$ such that $d(x, y) \leq k$ for all $x, y \in X$.

Constructing a Bounded Metric

Start with a metric d on X . We can produce a new metric with diameter 1 which gives it the same topology.

Let $\bar{d}(x, y) = \min(d(x, y), 1)$. Then $B_{\min(1, r)}^d(x) \subseteq B_r^{\bar{d}}(x)$ and $B_{\min(\frac{1}{2}, r)}^{\bar{d}}(x) \subseteq B_r^d(x)$, so the topologies are the same. \bar{d} gives the standard bounded metric.

Example: Uniform Topology

If $X_\alpha, \alpha \in A$ are bounded metric spaces with bounded diameters (say ≤ 1), then $\prod X_\alpha$ has $d(x, y) = \sup_\alpha d(x_\alpha, y_\alpha)$.

This gives the uniform topology.

Note: Product topology is finer than the uniform topology which is finer than the box topology.

Proposition

$f: X \rightarrow Y$ is continuous at $x_0 \in X$ if and only if given $\varepsilon > 0$ there exists $\delta > 0$ such that $d_X(y, x_0) < \delta \Rightarrow d_Y(f(y), f(x_0)) < \varepsilon$.

Proof:

(\Rightarrow) This is obvious.

(\Leftarrow) If U is a neighborhood of $f(x_0)$, there exists $\varepsilon > 0$ such that $B_\varepsilon(f(x_0)) \subset U$. So there exists $\delta > 0$ such that $B_\delta(x_0) \subset f^{-1}(B_\varepsilon(f(x_0)))$, and so $f(B_\delta(x_0)) \subset U$.

Lemma: Sequence Lemma

Let X be a metric space. $x \in \bar{A}$ if and only if there exists $x_n \in A$ such that $x_n \rightarrow x$.

Proof:

(\Rightarrow) If $x \in \bar{A}$, then $B_{\frac{1}{n}}(x) \cap A \neq \emptyset, \forall n \in \mathbb{N}$. Let $x_n \in B_{\frac{1}{n}}(x) \cap A$. Then $x_n \rightarrow x$.

(\Leftarrow) If $x_n \rightarrow x$, then given a neighborhood U of x , U contains all but finitely many of the x_n 's, so $U \cap \{x_n\}_{n \in \mathbb{N}} \neq \emptyset$ and so $U \cap A \neq \emptyset$.

Remark

The Sequence Lemma is true if X satisfies the First Countability Axiom: For any $x \in X$, there exists $U_n, n \in \mathbb{N}$ open neighborhoods of x such that if V is a neighborhood of x , $V \supset U_n$ for some $n \in \mathbb{N}$.

Theorem

Let X be a metric space. Then $f: X \rightarrow Y$ is continuous if and only if $f(x_n) \rightarrow f(x)$ whenever $x_n \rightarrow x$.

Proof:

(\Rightarrow) Suppose f is continuous and U is a neighborhood of $f(x)$ and that $x_n \rightarrow x$. Then $f^{-1}(U)$ is a neighborhood of x , so there exists N such that $n > N \Rightarrow x_n \in f^{-1}(U) \Rightarrow f(x_n) \in U$.

(\Leftarrow) To prove f is continuous, it suffices to prove $f(\bar{A}) \subset \overline{f(A)}, \forall A \subset X$. Let $x \in \bar{A}$, $x_n \in A$, $x_n \rightarrow x$. Then $f(x_n) \rightarrow f(x)$. So given U neighborhood of $f(x)$, there exists N such that $n > N \Rightarrow f(x_n) \in U$. Since $x_n \in A$, so $f(x_n) \in f(A)$. So $f(x) \in \overline{f(A)}$.

Definition: Uniform Convergence

Let X and Y be metric spaces. $f_n: X \rightarrow Y$ converges uniformly to $f: X \rightarrow Y$ if given $\varepsilon > 0$ there exists $N > 0$ such that for all $n > N$, $d(f_n(x), f(x)) < \varepsilon$ for all $x \in X$.

Proposition

Let X and Y be metric spaces. If $f_n: X \rightarrow Y$ converges uniformly to $f: X \rightarrow Y$ and f_n continuous, then f is continuous.

Proof: Given $x_0 \in X$ and $\varepsilon > 0$, we have to find $\delta > 0$ such that $d(f(x_0), f(y)) < \varepsilon$ whenever $d(x_0, y) < \delta$. Find

$N > 0$ such that $d(f(x_1), f_n(x_1)) < \frac{\varepsilon}{3}$ for all $n > N$ and all $x_1 \in X$. Now fix $n > N$. f_n is continuous, so there exists

$\delta > 0$ such that $d(x_0, y) < \delta \Rightarrow d(f_n(x_0), f_n(y)) < \frac{\varepsilon}{3}$. So

$$d(f(x_0), f(y)) \leq d(f(x_0), f_n(x_0)) + d(f_n(x_0), f_n(y)) + d(f_n(y), f(y)) < \frac{\varepsilon}{3} + \frac{\varepsilon}{3} + \frac{\varepsilon}{3} = \varepsilon.$$

THE QUOTIENT TOPOLOGY

Definition: Quotient Map

Let X and Y be topological spaces and $f: X \rightarrow Y$ a continuous surjective (onto) map. Then f is a quotient map if moreover $f^{-1}(U) \subset X$ is open if and only if $U \subset Y$ is open.

Definition: Open Map

$f: X \rightarrow Y$ is open iff $f(U) \subset Y$ is open for all open $U \subset X$.

Proposition

$\pi: X \times Y \rightarrow X$ is an open map.

Proof: Let $U \subset X \times Y$ be open. Then $U = \bigcup_{i \in I} (U_i \times V_i)$, so $\pi(U) = \bigcup_{i \in I} U_i$ which is open in X .

Proposition

If $f: X \rightarrow Y$ is continuous, surjective, and open, then f is a quotient.

Proof: If $f^{-1}(U) \subset X$ is open, then $U = f(f^{-1}(U))$ is open in Y .

Definition: Equivalence Relation

A equivalence relation on a set X , (X, \sim) , satisfy:

1. $x \sim y \Leftrightarrow y \sim x$,
2. $x \sim x$,
3. $x \sim y, y \sim z \Rightarrow x \sim z$,

for all $x, y, z \in X$.

Note: X is partitioned into equivalent classes. X/\sim is the set of equivalent classes.

Remark

Let $f: X \rightarrow Y$ be surjective. Let $x_1 \sim x_2 \Leftrightarrow f(x_1) = f(x_2)$. Then X/\sim is in 1-1 correspondence with Y .

Proposition

Given (X, \sim) , there is a unique topology on X/\sim which makes the natural map $f: X \rightarrow X/\sim$ a quotient map and X/\sim a quotient space.

Proof: $U \subset X/\sim$ is open if and only if $f^{-1}(U)$ is open in X .

Lemma

Let $\{0, 1\}$ have the topology $\{\emptyset, \{0\}, \{0, 1\}\}$. Let $U \subset X$ be open. Then $\phi: X \rightarrow \{0, 1\}, \phi(x) = \begin{cases} 0 & \text{if } x \in U \\ 1 & \text{if } x \in U^c \end{cases}$ is continuous.

Proposition

Let $f: X \rightarrow Y$ be surjective and continuous. Then f is a quotient map if and only if given $g: Y \rightarrow Z$, g is continuous if and only if $g \circ f$ is continuous for all g and Z .

Proof:

(\Rightarrow) Suppose f is a quotient map. Assume $g: Y \rightarrow Z$ is continuous, so $g^{-1}(V) \subset Y$ open for all $V \in Z$, and so $(g \circ f)^{-1}(V) = f^{-1}(g^{-1}(V)) \subset X$ is open since f is continuous. Assume $g \circ f$ is continuous, so $(g \circ f)^{-1}(V) = f^{-1}(g^{-1}(V)) \subset X$ is open, and so $g^{-1}(V) \subset Y$ is open since f is open.

(\Leftarrow) It remains to show that if $f^{-1}(V)$ is open in X , then V is open in Y . Let $\psi: Y \rightarrow \{0, 1\}, \psi(y) = \begin{cases} 0 & \text{if } y \in V \\ 1 & \text{if } y \notin V \end{cases}$. ψ is continuous if and only if $\psi \circ f$ is continuous, which it is since $f^{-1}(V)$ is open.

Proposition

Let $f: X \rightarrow Y$. Let \sim be the equivalence relation of X where $x_1 \sim x_2 \Leftrightarrow f(x_1) = f(x_2)$. Then f is continuous if and only if the natural map $\hat{f}: X/\sim \rightarrow Y$ is continuous.

Proposition

If $f: X \rightarrow Y$ is a quotient map, then $\hat{f}: X/\sim \rightarrow Y$ is a homeomorphism.

Proof: \hat{f} is 1-1, onto, and continuous (since f is continuous). Let $\rho: X \rightarrow X/\sim$, which is continuous. Then $\hat{f}^{-1} \circ f = \rho$ is continuous, and \hat{f}^{-1} is continuous since f is a quotient map.

Definition: Retraction

Let $A \subset X$ and $f: X \rightarrow A$. If $f|_A = Id_A$ and f is continuous, then f is called a retraction.

Proposition

A retraction is a quotient map.

Proof: If U is open in A , $f^{-1}(U)$ is open in X since f is continuous. It remains to show that if $f^{-1}(U)$ is open in X , then U is open in A . Now $U = f^{-1}(U) \cap A$ since $f|_A = Id_A$, so U is open in A since it is the intersection of an open set in X with A .

Connectedness and Compactness

CONNECTED SPACES

Definition: Separation

Let X be a topological space. A separation of X is a pair of nonempty open sets, U_1 and U_2 , such that $U_1 \cup U_2 = X$ and $U_1 \cap U_2 = \emptyset$.

Definition: Connected

X is connected if there is no separation of X .

Theorem

If $f: X \rightarrow Y$ is continuous and X is connected, then so is $f(X)$.

Proof: Suppose $f(X)$ is not connected. Let V_1 and V_2 open in $f(X)$ be a separation. Then $f^{-1}(V_1)$ and $f^{-1}(V_2)$ is a separation of X .

Proposition

Suppose $Y \subset \mathbb{R}$. If there exists $a, b \in Y$ and $c \notin Y$ such that $a < c < b$, then Y is not connected.

Proof: $(-\infty, c) \cap Y$ and $(c, \infty) \cap Y$ is a separation if Y .

Corollary

If $f: X \rightarrow \mathbb{R}$ is continuous and X is connected, and $f(x_1) = a$, $f(x_2) = b$, $a < c < b$, then there exists $x_c \in X$ such that $f(x_c) = c$.

Proof: $f(X)$ is connected, so it contains c .

Theorem

The non-empty connected sets in \mathbb{R} are precisely the intervals.

Proof: Suppose $Y \subset \mathbb{R}$ is a connected set. Let $a = \text{glb } Y$ and $b = \text{lub } Y$. Y is the interval between a and b , perhaps within the endpoints. Suppose U_1 and U_2 is a separation of Y . Let $a_1 \in U_1$, $b_1 \in U_2$, $a < a_1 < b_1 < b$. Then we can find a_2 and b_2 such that $[a_2, b_2]$ is separated by $[a_2, b_2] \cap U_1$ and $[a_2, b_2] \cap U_2$. Let $c = \text{lub}([a_2, b_2] \cap U_1)$. Then $c \neq b_2$ since b_2 is in the open neighborhood $[a_2, b_2] \cap U_2$. Also $c \notin U_1$ since there exists $c + \varepsilon \in U_1$, so $c \neq \text{lub}([a_2, b_2] \cap U_1)$. Similarly $c \notin U_2$ since there exists $c - \varepsilon \in U_2$, so $c \neq \text{lub}([a_2, b_2] \cap U_1)$. Hence by the openness of U_1 and U_2 , c can't be anywhere. Contradiction. So the intervals are connected.

Corollary

Let I be an interval in \mathbb{R} . If $f: I \rightarrow X$ is continuous, then $f(I)$ is connected.

Theorem

Suppose $X_\alpha \subset X$, $\alpha \in A$ are connected, and there exists $p \in X_\alpha$, $\forall \alpha \in A$. Then $\bigcup_{\alpha \in A} X_\alpha$ is connected.

Proof: Suppose U_1 and U_2 is a separation. Then p is in U_1 or U_2 ; say U_1 . Then $X_\alpha \subset U_1$ $\forall \alpha \in A$, for if $U_2 \cap X_\alpha \neq \emptyset$ then $U_1 \cap X_\alpha$ and $U_2 \cap X_\alpha$ separate X_α .

Definition: Path Connected

X is path connected if given $x, y \in X$, there exists a continuous map $f: I \rightarrow X$ such that $f(0) = x$ and $f(1) = y$.

Theorem

If X is path connected, then X connected.

Theorem

If $A \subseteq X$ is connected and $A \subseteq B \subseteq \bar{A}$, then B is connected.

Proof: Suppose U_1 and U_2 separate B . Then $U_1 \cap A \neq \emptyset$ and $U_2 \cap A \neq \emptyset$. So $U_1 \cap A$ and $U_2 \cap A$ separate A . Contradiction.

Theorem

The product of a finite number of connected spaces is connected.

Proof: It is sufficient to prove for two. Fix a point $(a, b) \in X \times Y$. Then $\{(x, b) | x \in X\}$ is connected. So for an arbitrary point (x_0, y_0) , $\{(x, b) | x \in X\} \cup \{(x_0, y) | y \in Y\}$ is connected. Hence their union $X \times Y$ is connected.

COMPONENTS AND LOCAL CONNECTEDNESS

Definition: Component

Given X and $x \in X$, let the component of x , C_x , be the largest connected set containing x , i.e. the union of all connected sets containing x .

Proposition

For $x, y \in X$, either $C_x = C_y$ or $C_x \cap C_y = \emptyset$.

Proof: If $C_x \cap C_y \neq \emptyset$, then let $z \in C_x \cap C_y$. Now $z \in C_x \rightarrow C_z = C_x$ and $z \in C_y \rightarrow C_z = C_y$. So $C_z = C_x$ and $C_z = C_y$, so $C_x = C_y$.

Theorem

The connected components of X partition X .

Definition: Path Component

Given X and $x \in X$, let the path component of x $(PC)_x = \{y | y \text{ can be joined to } x \text{ by a path}\}$.

Theorem

The path components of X partition X . In fact, they partition the connected components of X , i.e. $(PC)_x \subseteq C_x$.

Definition: Local Connectivity

X is locally connected at x iff for each neighborhood U_x of x there is a connected neighborhood V_x of x such that $V_x \subseteq U_x$.

X is locally connected iff X is locally connected at each point $x \in X$.

Theorem

If a space X is locally connected, then the connected components of X are open.

Proof: Let $x \in C_x$. Then any U_x contains V_x . Now each $V_x \subset C_x$, so C_x is open.

Lemma

If a space X is locally connected, then for each open $U \subset X$, U is connected.

Theorem

A space X is locally connected if and only if for every open set U of X , each component of U is open in X .

Definition: Local Path Connectivity

X is locally path connected at x iff for each neighborhood U_x of x there is a path connected neighborhood V_x of x such that $V_x \subset U_x$.

X is locally path connected iff X is locally connected at each point $x \in X$.

Theorem

A space X is locally path connected if and only if for every open set U of X , each component of U is open in X .

COMPACT SPACES

Definition: Open Cover

Let $U_\alpha \subset X$, $\alpha \in A$ be open sets such that $\bigcup_{\alpha \in A} U_\alpha = X$. $\{U_\alpha\}_{\alpha \in A}$ is an open cover of X .

Definition: Subcover

If $B \subseteq A$ and $\{U_\alpha\}_{\alpha \in B}$ is still a cover, then $\{U_\alpha\}_{\alpha \in B}$ is called a subcover of $\{U_\alpha\}_{\alpha \in A}$.

Definition: Compact

X is compact iff every open cover of X has a finite subcover.

Theorem

If X is compact and $f: X \rightarrow Y$ is continuous, then $f(X)$ is compact.

Proof: Let $\{U_\alpha\}_{\alpha \in A}$ be an open cover of $f(X)$. Let V_α be open in Y and $V_\alpha \cap f(X) = U_\alpha$. Then $f^{-1}(V_\alpha) = f^{-1}(U_\alpha)$ is open in X . $\{f^{-1}(U_\alpha)\}_{\alpha \in A}$ is an open cover of X , so there exists $\alpha_1, \dots, \alpha_n$ such that $f^{-1}(U_{\alpha_1}) \cup \dots \cup f^{-1}(U_{\alpha_n}) = X$, but then $U_{\alpha_1} \cup \dots \cup U_{\alpha_n} = f(X)$.

Proposition

A compact set in \mathbb{R} is bounded.

Proof: Cover \mathbb{R} by $(z-1, z+2)$, $z \in \mathbb{Z}$. A finite collection of these is bounded.

Proposition

A compact subset A of a Hausdorff space X is closed.

Proof: Suppose there exists $p \in \bar{A} - A$. Let $x \in A$. Let U_x and V_x be neighbourhoods of x and p respectively such

that $U_x \cap V_x = \emptyset$. $\{U_x\}_{x \in A}$ form an open cover of A . Now let U_{x_1}, \dots, U_{x_n} be a finite subcover and $U = U_{x_1} \cup \dots \cup U_{x_n}$ and $V = V_{x_1} \cap \dots \cap V_{x_n}$. Then $U \cap V = \emptyset$. But $p \in V$ and $V \cap A = \emptyset$. Contradiction. Hence $p \notin \bar{A} - A$ and $A = \bar{A}$ so A is closed.

Theorem

Compact sets are closed and bounded in \mathbb{R} , \mathbb{R}^n , or any metric space.

Theorem

If $f: X \rightarrow \mathbb{R}$ is continuous and X is compact, then f achieves its maximum and minimum, i.e. its lub and glb.

Proof: lub and glb are in $\overline{f(X)}$.

Definition: Finite Intersection Property

A collection of (nonempty) sets $\{B_\alpha\}_{\alpha \in A}$ has the finite intersection property if for every finite sub-collection $\{B_{\alpha_i}\}_{i=1}^n$, $B_{\alpha_1} \cap \dots \cap B_{\alpha_n} \neq \emptyset$.

Theorem

X is compact if and only if every collection of closed subsets of X with the finite intersection property has nonempty intersection.

Proof:

(\Rightarrow) Assume X is compact. Let $\{C_\alpha\}_{\alpha \in A}$ be a collection of closed sets, so $\{C_\alpha^c\}_{\alpha \in A}$ are open. $\{C_\alpha^c\}_{\alpha \in A}$ does not cover X if there is no finite subcover, that is $\bigcup_{\alpha \in A} C_\alpha^c \neq X \Leftrightarrow \bigcap_{\alpha \in A} C_\alpha \neq \emptyset$ if $\bigcup_{i=1}^n C_{\alpha_i}^c \neq X \Leftrightarrow \bigcap_{i=1}^n C_{\alpha_i} \neq \emptyset$.

(\Leftarrow) Let $\{U_\alpha\}_{\alpha \in A}$ be an open cover. Suppose $\{U_\alpha\}_{\alpha \in A}$ has no finite subcover. Then $U_{\alpha_1}^c \cap \dots \cap U_{\alpha_n}^c \neq \emptyset$ for all finite sub-collection, so $\bigcap_{\alpha \in A} U_\alpha^c \neq \emptyset$ and hence $\bigcup_{\alpha \in A} U_\alpha \neq X$. Contradiction since $\{U_\alpha\}_{\alpha \in A}$ is an open cover.

Theorem

If X is compact and $A \subset X$ is closed, then A is also compact.

Proof: Let $\{U_\beta\}_{\beta \in B}$ be an open cover of A , $U_\beta = V_\beta \cap A$, V_β open in X . Then $\{V_\beta\}_{\beta \in B} \cup A^c$ is an open cover of X , so there exists a finite collection β_1, \dots, β_n such that $V_{\beta_1}, \dots, V_{\beta_n}, A^c$ cover X . Hence $U_{\beta_1}, \dots, U_{\beta_n}$ cover A .

Theorem

If X is compact and Hausdorff, and A, B are closed sets in X such that $A \cap B = \emptyset$, then there exists open sets U and V , $A \subset U$, $B \subset V$, such that $U \cap V = \emptyset$.

Proof: Let $x \in A$. There exists neighbourhoods U_x of x and V_x of B such that $U_x \cap V_x = \emptyset$. Let U_{x_1}, \dots, U_{x_n} be a finite subcover of A . Let $U = \bigcup_{i=1}^n U_{x_i}$ and $V = \bigcap_{i=1}^n V_{x_i}$. Then U and V are open and $U \cap V = \emptyset$.

Theorem

Suppose X is compact. Let $\{C_n\}_{n \in \mathbb{N}}$ be a collection of non-empty, closed, and nested sets (i.e. $C_1 \supset C_2 \supset \dots$). Then $\bigcap_{n \in \mathbb{N}} C_n \neq \emptyset$.

Proof: X is compact, so $\{C_n\}_{n \in \mathbb{N}}$ has the finite intersection property. Suppose $\bigcap_{n \in \mathbb{N}} C_n \neq \emptyset$. Then there exists a finite subsequence n_1, \dots, n_k such that $\bigcap_{i=n_1}^{n_k} C_{n_i} = \emptyset$, but $\bigcap_{i=n_1}^{n_k} C_{n_i} = C_{n_k}$. Contradiction.

Theorem

Suppose X is compact and Y is Hausdorff. If $f: X \rightarrow Y$ is bijective and continuous, then f is a homeomorphism.

Proof: We need $f^{-1}: Y \rightarrow X$ to be continuous. Let $C \subset X$ be closed. Then C is compact. So $f(C) \subset Y$ is compact, and so closed. Now $(f^{-1})^{-1}(C) = f(C)$. Hence if $C \subset X$ be closed, then $(f^{-1})^{-1}(C)$ is closed. Therefore f^{-1} is continuous.

Lemma: Tube Lemma

Suppose Y is compact. Let $x_0 \in X$ and U be an open set in $X \times Y$ such that $x_0 \times Y \subset U$. Then there exists a neighborhood W of x_0 such that $W \times Y \subset U$.

Proof: For each $y \in x_0 \times Y$, there exists a product neighborhood $U_{x_0, y} \times U_y$ contained in U . Since Y is compact, there is a finite collection y_1, \dots, y_n such that U_{y_1}, \dots, U_{y_n} cover Y . Let $W = \bigcap_{i=1}^n U_{x_0, y_i}$. Now $W \times U_{y_i} \subset U_{x_0, y_i} \times U_{y_i}$ for each $i=1, \dots, n$, so $W \times \bigcup_{i=1}^n U_{y_i} = W \times Y \subset U$.

Theorem

Finite product of compact spaces is compact.

Proof: Note that it suffices to prove $X \times Y$ is compact if X and Y are compact. Let $\{U_\alpha\}_{\alpha \in A}$ be an open cover of $X \times Y$. For each fixed $x \in X$, let $U_{x,1}, \dots, U_{x,n_x}$ be a finite cover of $x \times Y$. Let $U_x = \bigcup_{i=1}^{n_x} U_{x,i}$. U_x is open, so by the tube lemma, there exists a neighborhood W_x of x such that $W_x \times Y \subset U_x$. Since X is compact, there exists x_1, \dots, x_m such that W_{x_1}, \dots, W_{x_m} cover X . So $W_{x_1} \times Y, \dots, W_{x_m} \times Y$ cover $X \times Y$. Since each tube $W_{x_i} \times Y$ is covered by $\{U_{x_i, j}\}_{j=1}^{n_{x_i}}$ a finite number of open sets of $X \times Y$, $\bigcup_{i=1}^m \{U_{x_i, j}\}_{j=1}^{n_{x_i}}$ is a finite subcover of $\{U_\alpha\}_{\alpha \in A}$. Hence $X \times Y$ is compact.

Theorem

$[a, b] \subset \mathbb{R}$ is compact.

Proof: Let $\{U_\alpha\}_{\alpha \in A}$ be an open cover of $[a, b]$. Let $c \in [a, b]$, where $c = \text{lub}\{x \in \mathbb{R} \mid [a, x] \text{ is covered by a finite subcover of } \{U_\alpha\}_{\alpha \in A}\}$. Then $c = b$, and there exists an open set containing b .

Theorem

$\prod_{i=1}^n [a_i, b_i] \subset \mathbb{R}_n$ is compact.

Theorem: Heine-Borel Theorem

$X \subset \mathbb{R}$ is compact if and only if X is closed and bounded.

LOCAL COMPACTNESS

Definition: Local Compactness

X is locally compact at x if there is a compact subset C of X which contains a neighborhood of x .
 X is locally compact if it is locally compact at every $x \in X$.

Example

\mathbb{R} is locally compact.

Definition: One-Point Compactification

Let Y be compact and Hausdorff. Suppose $X \subset Y$ such that $Y - X = \{\infty\}$ is one point and that $\bar{X} = Y$. Then we call Y a one-point compactification of X .

Theorem

Let X be locally compact and Hausdorff, but X is not compact. Then X has a one-point compactification. Moreover, if Y_1 and Y_2 are both one-point compactification of X , then Id_X extends to a homeomorphism $h: Y_1 \rightarrow Y_2$ which takes ∞_{Y_1} to ∞_{Y_2} .

Proof:

Let $Y = X \cup \{\infty\}$, $\infty \notin X$. Define the topology on Y as follows: $U \subset Y$ is open iff

- $U \subset X$ and U is open in X , or
- $U = A^c$ where $A \subset X$ is compact.

Y is Hausdorff: Take two points x_1 and x_2 in Y . If $x_1, x_2 \in X$, done. Otherwise, let $x \in X$ and $\infty \in Y$. There exists U_x open and C compact such that $x \in U_x \subset C \subset X$ (since X be locally compact). $\infty \in C^c$ which is open. So $U_x \cap C^c = \emptyset$.

Y is compact: Let $\{U_\alpha\}_{\alpha \in A}$ be a open cover of Y . ∞ is in some U_{α_1} and $U_{\alpha_1}^c$ is compact. Note that $\{\infty\}$ is closed (since Y Hausdorff). X is open, so $X \cap U_\alpha$ is open for all $\alpha \in A$. Then $\{X \cap U_\alpha\}_{\alpha \in A}$ is an open cover of X , and hence of $U_{\alpha_1}^c$. So a finite collection $U_{\alpha_2}, \dots, U_{\alpha_n}$ covers $U_{\alpha_1}^c$, and $U_{\alpha_1}, U_{\alpha_2}, \dots, U_{\alpha_n}$ covers Y .

$\bar{X} = Y$, i.e. $\infty \in \bar{X}$: Let U_∞ be an open set containing ∞ . Then $U_\infty = A^c$, where $A \subset X$ is compact and $A \neq X$, so $U_\infty \cap X \neq \emptyset$.

Corollary

Let X be Hausdorff. Then X is locally compact if and only if given $x \in X$ and neighborhood U_x of x , there exists a neighborhood V_x of x such that \bar{V}_x is compact and $\bar{V}_x \subset U_x$.

Proof:

(\rightarrow) X is locally compact and Hausdorff, so $X \subset Y$ where Y is compact and Hausdorff by one-point compactification.

Given U_x , $U_x^c = \bar{U}_x^c$ is closed in Y and thus so compact. So there exists V_x and $N(\bar{U}_x^c)$ neighborhoods of x and \bar{U}_x^c such that $V_x \cap N(\bar{U}_x^c) = \emptyset$. So $\bar{V}_x \subset U_x$ and $\infty \notin \bar{V}_x$. Hence \bar{V}_x is compact and $\bar{V}_x \subset U_x \subset X$.

(\leftarrow) Take $C = \bar{V}_x$ compact. Then $x \in V_x \subset U_x$. This is just the definition of local compactness.

Corollary

If X is locally compact and Hausdorff, and $A \subset X$ either open or closed, then A is locally compact and Hausdorff.

Corollary

X is homeomorphic to an open subspace of a compact Hausdorff space if and only if X is locally compact and Hausdorff.

LIMIT POINT COMPACTNESS

Definition: Limit Point Compact

X is limit point compact if every infinite subset of X has a limit point.

Theorem

If X is compact, then X is limit point compact.

Proof: Suppose X is not limit point compact. Then there exists an infinite subset $A \subset X$ which has no limit points. So for all $x \in X$ there exists U_x such that $U_x \cap A$ is at most one point, i.e. $x \notin A \Rightarrow U_x \cap A = \emptyset$ or $x \in A \Rightarrow U_x \cap A = \{x\}$. Now $\{U_x\}_{x \in X}$ is an open cover of X but has no finite subcover since A is infinite.

Definition: Sequentially Compact

X is sequentially compact if every sequence $\{x_i\}_{i \in \mathbb{N}}$ has a convergent subsequence $\{x_{n_i}\}_{i \in \mathbb{N}}$ such that n_i is strictly increasing and x_{n_i} converge.

Definition: Cauchy Sequence

In a metric space, a sequence $\{x_i\}_{i \in \mathbb{N}}$ is a Cauchy sequence if given $\varepsilon > 0$, there exists $N > 0$ such that $d(x_n, x_m) < \varepsilon$ for all $n, m > N$.

Definition: Complete

X is complete if every Cauchy sequence converges.

Lemma

If a subsequence of a Cauchy sequence converges, then so does the sequence.

Definition: Totally Bounded

A metric space is totally bounded if for all $\varepsilon > 0$, there is a finite cover of X by ε -balls.

Definition: Lebesgue Number

Let $\{U_\alpha\}_{\alpha \in A}$ be an open cover of X . Then $\delta > 0$ is a Lebesgue number for $\{U_\alpha\}_{\alpha \in A}$ if given $x \in X$, $B_\delta(x) \subset U_\alpha$ for some $\alpha \in A$.

Lemma: Lebesgue Number Lemma

Let X be complete and totally bounded. If $\{U_\alpha\}_{\alpha \in A}$ be an open cover, then there exists a Lebesgue number $\delta > 0$ for $\{U_\alpha\}_{\alpha \in A}$.

Proof: Suppose not, i.e. for all δ , there exists x_δ such that $B_\delta(x_\delta) \not\subset U_\alpha$ for any $\alpha \in A$. Pick a sequence $\delta_n \rightarrow 0$ (e.g. $\delta_n = \frac{1}{2^n}$) and $x_{\delta_n} \in X$ as above. Since X totally bounded, consider finite covers of X by balls of radius $\frac{1}{2^i}$, for each $i = 1, 2, \dots$. Inductively construct a subsequence $\{x_{\delta_{n_i}}\}_{i \in \mathbb{N}}$ such that the tail is in one ball of radius $\frac{1}{2^i}$ for $i = 1, 2, \dots$. The resulting sequence is Cauchy, and hence converges since X complete. So $x_{\delta_{n_i}} \rightarrow x$, $x \in U_\alpha$ for some $\alpha \in A$, and $B_\varepsilon(x) \subset U_\alpha$. Now, for i large enough, $\delta_{n_i} < \frac{\varepsilon}{2}$ since $\delta_{n_i} \rightarrow 0$, and that $x_{\delta_{n_i}} \in B_{\delta_{n_i}}(x)$. So $B_{\delta_{n_i}}(x_{\delta_{n_i}}) \subset B_\varepsilon(x) \subset U_\alpha$ by the

triangle inequality. Contradiction.

Theorem

If (X, d) is a metric space, then the following are equivalent:

1. X is compact.
2. X is limit point compact.
3. X is sequentially compact.
4. X is complete and totally bounded.

Proof:

(1 \Rightarrow 2) Done.

(2 \Rightarrow 3) Let $\{x_i\}_{i \in \mathbb{N}}$ be a sequence. If $\{x_i\}_{i \in \mathbb{N}}$ is a finite set (finitely many different elements), then there is a constant subsequence which converge. So assume $A = \{x_i | i \in \mathbb{N}\}$ is infinite and let $x \in X$ be a limit point of A . Then we can find infinite sets $S_i, i \in \mathbb{N}$ such that $S_{i+1} \subset S_i$ and points $x_{n_i} \in S_i$ with $n_{i+1} > n_i$ such that $d(x_i, x) < \frac{1}{i}$ (possible since

$B_{1/i}(x) \cap S_i$ with $S_0 = A$ is an infinite set). Then $x_{n_i} \rightarrow x$ since given $\varepsilon > 0$ there exists $M > 0$ such that $\frac{1}{M} < \varepsilon$, and so for $i > M$ $d(x_{n_i}, x) < \frac{1}{n_i} < \frac{1}{M} < \varepsilon$.

(3 \Rightarrow 4) Take any Cauchy sequence $\{x_n\}_{n \in \mathbb{N}}$. Since X is sequentially compact, there is a subsequence $\{x_{n_i}\}_{i \in \mathbb{N}}$ which converges. So the Cauchy $\{x_n\}_{n \in \mathbb{N}}$ converges by lemma, and hence X is complete. Now suppose X is not totally bounded. Let $\varepsilon > 0$ be such that the ε -balls do not have a finite subcover. For x_1, \dots, x_n such that $d(x_i, x_j) \geq \varepsilon \forall i, j \leq n$, there exists x_{n+1} such that x_1, \dots, x_n, x_{n+1} have the same property (i.e. $d(x_i, x_j) \geq \varepsilon \forall i, j \leq n+1$). So we can construct an infinite sequence $\{x_i\}_{i \in \mathbb{N}}$ such that $d(x_i, x_j) \geq \varepsilon \forall i \neq j$. This

sequence has no convergent subsequence, for if it did, then given $\frac{\varepsilon}{2}$ there exists M such that $d(x_i, x) < \frac{\varepsilon}{2} \forall i > M$, but then $d(x_{n_i}, x_{n_j}) > \varepsilon$ $i, j > M$, so contradiction.

(4 \Rightarrow 1) Let $\{U_\alpha\}_{\alpha \in A}$ be an open cover of X . Let $\delta > 0$ be a Lebesgue number for the cover. Let $B_\delta(x_1), \dots, B_\delta(x_k)$ be a finite covering of δ -balls. Then for each $i = 1, \dots, k$, $B_\delta(x_i) \subset U_{\alpha_i}$ for some $\alpha_i \in A$. Hence $U_{\alpha_1}, \dots, U_{\alpha_k}$ is a finite subcover.

Corollary

If X is compact metric space, then any open cover has a Lebesgue number.

Definition: Uniform Continuity

Let X and Y be metric spaces. $f: X \rightarrow Y$ is uniformly continuous if given $\varepsilon > 0$, there $\delta > 0$ such that $d_Y(f(x), f(y)) < \varepsilon$ whenever $d_X(x, y) < \delta$ for all $x, y \in X$.

Theorem

Let X and Y be metric spaces. If X is compact and $f: X \rightarrow Y$ is continuous, then f is uniformly continuous.

Proof: Given $x \in X$, there exists $\delta_x > 0$ such that $y \in B_{\delta_x}(x) \Rightarrow d_Y(f(x), f(y)) < \frac{\varepsilon}{2}$ since f continuous. Then

$y, z \in B_{\delta_x}(x) \Rightarrow d_Y(f(y), f(z)) < \varepsilon$ by the triangle inequality. Now the open cover $\{B_{\delta_x}(x)\}_{x \in X}$ has a Lebesgue number $\delta > 0$.

THE TYCHONOFF THEOREM

Definition: Maximal

A collection of sets \mathcal{D} with the finite intersection property is maximal if for any $\mathcal{D}' \supset \mathcal{D}$, $\mathcal{D}' \neq \mathcal{D}$, \mathcal{D}' does not have the finite intersection property.

Lemma

Given a collection \mathcal{C} of sets with the finite intersection property, there exists \mathcal{D} such that $\mathcal{C} \subset \mathcal{D}$ and \mathcal{D} is maximal.

Proof: Construct \mathcal{D} using Zorn's Lemma.

Lemma

Let \mathcal{D} be a maximal collection of sets in X with the finite intersection property. Then:

1. If $A_1, \dots, A_n \in \mathcal{D}$, then $A_1 \cap \dots \cap A_n \in \mathcal{D}$.
2. If $A \cap U \neq \emptyset \ \forall U \in \mathcal{D}$, then $A \in \mathcal{D}$.

Lemma

Suppose that \mathcal{D} is a maximal collection of sets in $\prod X_\alpha$, where each X_α is compact. Then $\bigcap_{A \in \mathcal{D}} \bar{A}$ is nonempty.

Proof: Note that closure the projection $\overline{\pi_\alpha(A)}$ is closed in a compact space X_α , so $\overline{\pi_\alpha(A)}$ is compact. $\bigcap_{A \in \mathcal{D}} \overline{\pi_\alpha(A)} \neq \emptyset$

Since $\{\pi_\alpha(A)\}_{A \in \mathcal{D}}$ has the finite intersection property and X_α is compact $\bigcap_{A \in \mathcal{D}} \overline{\pi_\alpha(A)} \neq \emptyset$. So there exists some

$x_\alpha \in \overline{\pi_\alpha(A)} \ \forall A \in \mathcal{D}$, so for any neighborhood U_α of x_α $U_\alpha \cap \pi_\alpha(A) \neq \emptyset \ \forall A \in \mathcal{D}$, and so

$\pi_\alpha^{-1}(U_\alpha) \cap A \neq \emptyset \ \forall A \in \mathcal{D}$. Let $x = (x_\alpha)_{\alpha \in A}$. Let $V_\alpha = \pi_\alpha^{-1}(U_\alpha) = U_\alpha \times \prod_{\beta \neq \alpha} X_\beta$, then $V_\alpha \in \mathcal{D}$. Therefore finite

intersections of V_α 's is in \mathcal{D} , i.e. $V_{\alpha_1} \cap \dots \cap V_{\alpha_k} = U_{\alpha_1} \times \dots \times U_{\alpha_k} \times \prod_{\beta \neq \alpha_1, \dots, \alpha_k} X_\beta \in \mathcal{D}$, and so

$\left(U_{\alpha_1} \times \dots \times U_{\alpha_k} \times \prod_{\beta \neq \alpha_1, \dots, \alpha_k} X_\beta \right) \cap A \neq \emptyset$. Therefore $x \in \bar{A}$ for every A , so $\bigcap_{A \in \mathcal{D}} \bar{A} \neq \emptyset$.

Theorem: Tychonoff's Theorem

If X_α is compact for all $\alpha \in A$, then $\prod_{\alpha \in A} X_\alpha$ is compact.

Countability and Separation Axioms

THE SEPARATION AXIOMS

Definition: Regular

Let X be a topological space where one-point sets are closed. Then X is regular if a point and a disjoint closed set can be separated by open sets.

Definition: Normal

Let X be a topological space where one-point sets are closed. Then X is normal if two disjoint sets can be separated by open sets.

Remark

Normal \Rightarrow regular \Rightarrow Hausdorff.

Proposition

If X is regular and U is a neighborhood of x , then there exists a neighborhood V of x such that $\bar{V} \subset U$.

Proof: U^c is closed. So there exist open sets V_1 and V_2 such that $x \in V_1$, $U^c \subset V_2$, $V_1 \cap V_2 = \emptyset$. So $x \in \bar{V}_1 \subset U$.

Proposition

If X is normal and U is a neighborhood of a closed set A , then there exists a neighborhood V of A such that $\bar{V} \subset U$.

Proof: U^c is closed. So there exist open sets V_1 and V_2 such that $A \subset V_1$, $U^c \subset V_2$, $V_1 \cap V_2 = \emptyset$. So $A \subset \bar{V}_1 \subset U$.

THE URYSOHN LEMMA**Theorem: Urysohn Lemma**

Let X be normal, A and B closed such that $A \cap B = \emptyset$. Let $[a, b] \subset \mathbb{R}$. Then there exists a continuous function $f: X \rightarrow [a, b]$ such that $f(A) = a$ and $f(B) = b$.

Proof: It is sufficient to take $[a, b] = [0, 1]$ (since they are homeomorphic).

Now for every rational $q \in [0, 1]$, construct open sets $U_q \subset X$ such that if $0 < p < q < 1$ then $\bar{U}_p \subset U_q$, and that $A \subset \bigcup_{p < 1} U_p$, $B \cap U_p = \emptyset$. Let $U_1 = X - B \supset A$ and U_0 be an open set containing A with $\bar{U}_0 \subset U_1$. Let $\phi: \mathbb{N} \rightarrow \mathbb{Q} \cap I$ where $\phi(1) = 0$, $\phi(2) = 1$. Suppose $U_{\phi(1)}, \dots, U_{\phi(n)}$ are constructed. $\phi(n+1)$ is between two closest neighbors, $\phi(i)$ and $\phi(j)$, on the list. Since $\phi(i) < \phi(n+1) < \phi(j)$, $\bar{U}_{\phi(i)} \subset U_{\phi(j)}$, so can pick $U_{\phi(n+1)}$ to be a neighborhood of $\bar{U}_{\phi(i)}$ (that is $\bar{U}_{\phi(i)} \subset U_{\phi(n+1)}$) such that $\bar{U}_{\phi(n+1)} \subset U_{\phi(j)}$. Hence by induction, define U_q for all rationals $q \in [0, 1]$.

Now define $f(x) = \inf_{q \in I \cap \mathbb{Q}} \{x \in U_q\}$. Then $f(A) = 0$ and $f(B) = 1$. It remains to prove the continuity of f . First note that $x \in \bar{U}_r \Rightarrow f(x) \leq r$ and $x \notin U_r \Rightarrow f(x) \geq r$. Now let U be an open neighborhood of $f(x) \in [0, 1]$. Then there exists open interval (q_1, q_2) such that $f(x) \in (q_1, q_2) \subset U$. Now $f^{-1}((q_1, q_2)) \supset U_{q_2} - \bar{U}_{q_1}$ which is open and contains x , and that $f(U_{q_2} - \bar{U}_{q_1}) \subset (q_1, q_2)$. Therefore f is continuous.

THE TIETZE EXTENSION THEOREM**Theorem: Tietze Extension Theorem**

Let X be normal, $A \subset X$ be closed, and $f: A \rightarrow [a, b]$ or $f: A \rightarrow \mathbb{R}$ be continuous. Then f may be extended to a continuous map defined on all of X .

Proof:

For $f: A \rightarrow [a, b]$, it is sufficient to show for $[a, b] = [-1, 1]$. Build a collection g_1, g_2, \dots of approximates to f such that $f - g_1 - g_2 - \dots$ converges to 0 uniformly. That is $g = \sum g_i \xrightarrow{\text{uni}} f$ on X .

- Let $B_1 = f^{-1}([-1, -\frac{1}{3}])$, $C_1 = f^{-1}([-\frac{1}{3}, \frac{1}{3}])$, $D_1 = f^{-1}([\frac{1}{3}, 1])$. Then B_1 and D_1 are closed and disjoint. By the Urysohn lemma, can find $g_1: X \rightarrow [-\frac{1}{3}, \frac{1}{3}]$ such that $g(B_1) = -\frac{1}{3}$, $g(D_1) = \frac{1}{3}$, and that $|f(x) - g_1(x)| < \frac{2}{3} \quad \forall x \in A$. We get obtain $f - g_1: A \rightarrow [-\frac{2}{3}, \frac{2}{3}]$.
- Now by letting $B_2 = (f - g_1)^{-1}(\frac{2}{3}[-1, -\frac{1}{3}])$, $C_2 = (f - g_1)^{-1}(\frac{2}{3}[-\frac{1}{3}, \frac{1}{3}])$, $D_2 = (f - g_1)^{-1}(\frac{2}{3}[\frac{1}{3}, 1])$, we can get $g_2: X \rightarrow \frac{2}{3}[-\frac{1}{3}, \frac{1}{3}]$ by Urysohn lemma, with $g(B_2) = \frac{2}{3}(-\frac{1}{3})$, $g(D_2) = \frac{2}{3}(\frac{1}{3})$, and

- $|f(x) - g_1(x) - g_2(x)| < (\frac{2}{3})^2 \quad \forall x \in A$.
- By induction, let $B_n = (f - g_1 - \dots - g_{n-1})^{-1}((\frac{2}{3})^{n-1}[-1, -\frac{1}{3}])$, $C_n = (f - g_1 - \dots - g_{n-1})^{-1}((\frac{2}{3})^{n-1}[-\frac{1}{3}, \frac{1}{3}])$, $D_n = (f - g_1 - \dots - g_{n-1})^{-1}((\frac{2}{3})^{n-1}[\frac{1}{3}, 1])$. Then we can get $g_n: X \rightarrow (\frac{2}{3})^{n-1}[-\frac{1}{3}, \frac{1}{3}]$ by Urysohn lemma, with $g(B_n) = (\frac{2}{3})^{n-1}(-\frac{1}{3})$, $g(B_n) = (\frac{2}{3})^{n-1}(\frac{1}{3})$, and $|f(x) - g_1(x) - \dots - g_n(x)| < (\frac{2}{3})^n \quad \forall x \in A$.
 - Now take $g = \sum g_i$. It is the extension we are looking for.

For $f: A \rightarrow \mathbb{R}$, it is sufficient to show for $f: A \rightarrow (-1, 1)$. Now, there exists $g: X \rightarrow [-1, 1]$ such that $g = f$ on A by the first part of the proof. Let $B = g^{-1}(\{-1\} \cup \{1\})$. Then A and B are disjoint closed sets. By Urysohn lemma, there exists $\phi: X \rightarrow [0, 1]$ such that $\phi(A) = 1$ and $\phi(B) = 0$, hence $\phi g = f$ on A , and $\phi g = 0$ on B . Then $\phi g: X \rightarrow (-1, 1)$ is the extension we are looking for.

Definition: Separates Points

Suppose $\{f_\alpha\}_{\alpha \in A}$ is a collection of functions such that $f_\alpha: X \rightarrow [0, 1]$. Let $f: X \rightarrow \prod_{\alpha \in A} [0, 1]$ be defined by $(f(x))_\alpha = f_\alpha(x)$. Suppose that for $x \neq y$, there exists a α such that $f_\alpha(x) \neq f_\alpha(y)$ (hence f is 1-1). We say f separates points.

Theorem

Suppose X is normal and has a countable basis. Then there exists a countable collection of continuous functions $f_i: X \rightarrow [0, 1]$ such that, given $x_0 \in X$ and a neighborhood U_{x_0} of x_0 , there exists i such that $f_i(x_0) = 1$ and $f_i \equiv 0$ outside U_{x_0} .

Remark

If we have such functions, then f separates points since given $x, y \in X$, there exists U_x such that $y \notin U_x$ by normality.

THE URYSOHN METRIZATION THEOREM

Definition: Completely Regular

A space X is completely regular if one-point sets are closed (T_1), and if given a point $p \in X$ and a closed set $A \subset X$ such that $p \notin A$, there exists a continuous function $f: X \rightarrow [0, 1]$ such that $f(p) = 1$ and $f(A) = 0$.

Theorem: Urysohn Metrization Theorem

If X is complete regular (or normal) and second countable (countable basis), then X is metrizable.

Proof: Let B_n and B_m be basis elements such that $\overline{B_n} \subset B_m$. There exists a continuous function $f_{n,m}: X \rightarrow [0, 1]$ such that $f_{n,m}(\overline{B_n}) = 0$ and $f_{n,m}(B_m^c) = 1$ by Urysohn lemma. In a regular space, given a point $x \in X$ and a neighborhood U of x , there exists another neighborhood V of x such that $x \in \overline{V} \subset U$. Hence given $x, y \in X$ there exists B_n and B_m such that $x \in \overline{B_n} \subset B_m$, $y \notin B_m$, and so $f_{n,m}$ separates points. Now $\mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ is 1-1 and onto, so $\prod f_{n,m}: X \rightarrow [0, 1]^{\mathbb{N}}$ is 1-1. $[0, 1]^{\mathbb{N}}$ is metrizable.

Remark

If X is normal but not second countable, take $\prod f: X \rightarrow [0, 1]^{C^0(X, I)}$ where $f \in C^0(X, I)$ (continuous function from X to I). If X is compact Hausdorff (hence normal), this is a homeomorphism.

THE STONE-ĆECH COMPACTIFICATION

Theorem

Let X be completely regular (or normal). Then there exists a compactification of X (i.e. Y is compact Hausdorff and $\bar{X} = Y$) with the property that any bounded continuous function $f: X \rightarrow \mathbb{R}$ extends uniquely to a function $g: Y \rightarrow \mathbb{R}$. Y is called the Stone-Čech Compactification.

Proof: Let $B(X, \mathbb{R})$ be the set of all bounded continuous functions $f: X \rightarrow \mathbb{R}$. For each $f \in B$ let $I_f = [-\alpha_f, \alpha_f]$ contain the image of f . Let $Z = \prod_{f \in B(X, \mathbb{R})} I_f$, which is compact Hausdorff by Tychonoff theorem. Define $h: X \rightarrow \prod I_f$ by $(h(x))_f = f(x)$. Let $Y = \overline{h(X)}$ be the compactification. Now let $i: Y \rightarrow Z$ be the inclusion, $i|_X = h$, then $\pi_f \circ i$ extends f uniquely.

Corollary

Let X be completely regular and W be compact Hausdorff. If $\phi: X \rightarrow W$ is a continuous function, then ϕ extends to Stone-Čech.

Proof: $W \rightarrow I^A$ is an imbedding, so $X \rightarrow W \rightarrow I^A$ is continuous. Hence each coordinate extends.

Metrization Theorems and Paracompactness

Definition: Refine

A collection B of subsets of X is said to refine A if $\bigcup_{U_B \in B} U_B = \bigcup_{U_A \in A} U_A = X$ and for each $U_B \in B$ there exists $U_A \in A$ such that $B \subset A$.

Definition: Local Finiteness

An open cover A of X is called locally finite if for any $x \in X$, $x \in U_A$ for finitely many $U_A \in A$.

Definition: Paracompactness

X is paracompact if every open cover A of X has a locally finite open refinement.

Theorem

Every metrizable space is paracompact.

Definition: Partition of Unity

Given a locally finite cover A , a partition of unity is a collection of continuous functions $\phi_{U_A}: X \rightarrow [0, 1]$ such that $\phi \neq 0$ on U_A and $\sum \phi_{U_A}(x) = 1$ for all $x \in X$.

Complete Metric Spaces and Function Spaces

COMPLETE METRIC SPACES

Definition: Cauchy Sequence

Let (Y, d) be a metric space. A sequence $(y_n)_{n \in \mathbb{N}}$ in (Y, d) is Cauchy if given $\varepsilon > 0$ there is an $N > 0$ such that

$$d(y_n, y_m) < \varepsilon \text{ whenever } n, m > N.$$

Definition: Complete

A metric space (Y, d) is complete if every Cauchy sequence converges.

Definition: Standard Bounded Metric

The standard bounded metric associated to d is $\bar{d}(x, y) \stackrel{\text{def}}{=} \min\{d(x, y), 1\}$.

Definition

If Y is metric and A is a set, Y^A is the set of functions from A to Y .

Definition: Bounded

Let Y be metric. A function $\phi: A \rightarrow Y$ is bounded if $\text{diam}(\phi(A))$ is finite. Let $B(A, Y)$ denote the set of bounded functions from A to Y .

Note: If Y has a bounded metric, then all functions are bounded.

Definition: Sup Metric

Let (Y, d) be a metric space and $\phi_1, \phi_2 \in B(A, Y)$. The sup metric on Y^A is $\rho(\phi_1, \phi_2) \stackrel{\text{def}}{=} \sup\{d(\phi_1(a), \phi_2(a)) \mid \forall a \in A\}$.

Definition: Uniform Metric

Let (Y, d) be a metric space and let \bar{d} be the standard bounded metric. The uniform metric on Y^A is $\bar{\rho}(\phi_1, \phi_2) \stackrel{\text{def}}{=} \sup\{\bar{d}(\phi_1(a), \phi_2(a)) \mid \forall a \in A\}$.

Remark

$\rho(\phi_1, \phi_2) < 1 \Leftrightarrow \bar{\rho}(\phi_1, \phi_2) < 1$, in which case $\rho = \bar{\rho}$.

Proposition

Let $f_n \in B(A, Y)$. Then $f_n \rightarrow f$ in the uniform metric if and only if $f_n \rightarrow f$ uniformly.

Theorem

If (Y, d) is complete, then $(Y^A, \bar{\rho})$ is complete.

Proof: Let $(f_n)_{n \in \mathbb{N}}$ be Cauchy in Y^A . Then given $\varepsilon > 0$, $\bar{\rho}(f_n, f_m) = \sup\{\bar{d}(f_n(a), f_m(a)) \mid \forall a \in A\} < \frac{\varepsilon}{2}$ for all $n, m > N_0$. So $(f_n(a))_{n \in \mathbb{N}}$ is Cauchy for all $a \in A$, and hence $f_n(a) \rightarrow f(a)$. So $\bar{\rho}(f_n, f) = \sup\{\bar{d}(f_n(a), f(a))\} < \frac{\varepsilon}{2} < \varepsilon$ for all $n > N_0$, so $f_n \rightarrow f$.

Proposition

Suppose A is a topological space and Y a metric space. Let $C(A, Y)$ be the set of continuous functions from A to Y . Then $C(A, Y)$ is closed in $B(A, Y)$ with the uniform metric.

Corollary

If Y is complete, then $C(A, Y)$ is also complete with the uniform metric.

If Y is complete and A is compact, then $C(A, Y)$ is complete in the sup metric.

Definition: Completion

Let (X, d_X) and (Y, d_Y) be metric spaces. Let $i: X \rightarrow Y$ be an isometric embedding, that is $d_X(x_1, x_2) = d_Y(i(x_1), i(x_2))$. Y is the completion of X if $\overline{i(X)} = Y$ and Y is complete.

Theorem

Every metric space has a completion.

Proof: Embed (X, d_X) into $C(X, \mathbb{R})$ (bounded) with the sup topology as follows. Fix $x_0 \in X$; let $a \in X$. Define $\phi_a(x) = d(x, a) - d(x, x_0)$. Then $\phi_a(x) \leq d(a, x_0)$ by the triangle inequality (hence bounded). Let $i: X \rightarrow C(X, \mathbb{R})$ be given by $i(a) = \phi_a$. Now, $\rho(i(a), i(b)) = \sup_{x \in X} |\phi_a(x) - \phi_b(x)| = \sup_{x \in X} |d(x, a) - d(x, x_0) - d(x, b) + d(x, x_0)| = \sup_{x \in X} |d(x, a) - d(x, b)| \leq d(a, b)$ by the triangle inequality. However, taking $x = a$, $|d(a, a) - d(a, b)| = d(a, b)$. Hence $\rho(i(a), i(b)) = d(a, b)$, so i is an isometry. Let $Y = \overline{i(X)}$.

PEANO SPACE-FILLING CURVE

Cororally

There exists a continuous and onto map $\phi: I \rightarrow I \times I$.

COMPACTNESS IN METRIC SPACES

Theorem

A metric space is compact if and only if it is complete and totally bounded.

Definition: Equicontinuous

Let X be a topological space, Y a metric space. The set of functions $F \subset C(X, Y)$ is equicontinuous at $x_0 \in X$ if given $\varepsilon > 0$ there exists a neighborhood U_{x_0} of x_0 such that $d(f(x), f(y)) < \varepsilon$ for all $f \in F$ and for all $x, y \in U_{x_0}$.

F is equicontinuous if it is equicontinuous at each $x_0 \in X$.

Examples

- Suppose that $F \subset C^1(I, \mathbb{R})$ and $|f'(x)| < 1 \quad \forall f \in F, x \in I$, then F is equicontinuous.
- If $d(f(x), f(y)) < C(d(x, y))^\alpha$ for some fixed C and fixed α , then F is equicontinuous.

Proposition

If $F \subset C(X, Y)$ is totally bounded in the uniform metric, then F is equicontinuous.

Note: d itself may or may not be bounded.

Proof: Let $\varepsilon > 0$ be given. Assume $\varepsilon < 1$. There exists f_1, \dots, f_k such that $B_{\varepsilon/3}^{\bar{\rho}}(f_i)$ cover F . Since each f_i is continuous, there exists a neighborhood U_{x_0} of x_0 such that $d(f_i(x), f_i(y)) < \frac{\varepsilon}{3}$ for all $x, y \in U_{x_0}$. Given $f \in F$, $f \in B_{\varepsilon/3}^{\bar{\rho}}(f_i)$ for some i . Now $d(f(x), f(y)) \leq d(f(x), f_i(x)) + d(f_i(x), f_i(y)) + d(f_i(y), f(y))$, and since

$f \in B_{\epsilon/3}^p(f_i) \Rightarrow d(f(x), f_i(x)) < \frac{\epsilon}{3}$, $f \in B_{\epsilon/3}^p(f_i) \Rightarrow d(f(y), f_i(y)) < \frac{\epsilon}{3}$, $x, y \in U_{x_0} \Rightarrow d(f_i(x), f_i(y)) < \frac{\epsilon}{3}$, so
 $d(f(x), f(y)) \leq \frac{\epsilon}{3} + \frac{\epsilon}{3} + \frac{\epsilon}{3} = \epsilon$. So F is equicontinuous.

Proposition

If X and Y are compact and $F \subset C(X, Y)$ is equicontinuous, then F is totally bounded.

Proof: Let $0 < \epsilon < 1$ be given. Since F equicontinuous, let U_a be neighborhoods of $a \in X$ such that

$d(f(x), f(y)) < \frac{\epsilon}{3} \quad \forall f \in F, \forall x, y \in U_a$. X is compact, so let $\{U_{a_i}\}_{i=1}^k$ cover X . Let $\{V_{\epsilon/3}(y_i)\}_{i=1}^l$ be a finite cover of

Y of $\frac{\epsilon}{3}$ -balls centered at y_i . Now consider the set of functions $\{\alpha\}$ where $\alpha: \{1, \dots, k\} \rightarrow \{1, \dots, l\}$. If there is $f \in F$ such that $f(x_i) \in V_{\epsilon/3}(y_{\alpha(i)})$ for each $i=1, \dots, k$, choose one label it f_α . Then we get a finite collection of ϵ -balls $\{B_\epsilon^p(f_\alpha)\}$. Now let $f \in F$. For each $i=1, \dots, k$, choose $\alpha(i)$ such that $f(x_i) \in V_{\epsilon/3}(y_{\alpha(i)})$. Let $x \in X$, then $x \in U_{a_i}$ for some a_i . So $d(f(x), f_\alpha(x)) \leq d(f(x), f(a_i)) + d(f(a_i), f_\alpha(a_i)) + d(f_\alpha(a_i), f_\alpha(x)) < \frac{\epsilon}{3} + \frac{\epsilon}{3} + \frac{\epsilon}{3} = \epsilon$. Hence $\{B_\epsilon^p(f_\alpha)\}$ cover F , so it is totally bounded.

Definition: Pointwise Bounded

$F \subset Y^X$ is pointwise bounded if $\{f(x)\}_{f \in F}$ is a bounded set in Y for each $x \in X$.

Theorem: Ascoli's Theorem, Classical Version

Let X be compact. Let $F \subset C(X, \mathbb{R}^n)$. F has a compact closure if and only if F is equicontinuous and pointwise bounded.

Proof: Let G denote the closure of F .

(\Rightarrow) G compact, so G is totally bounded under the sup and uniform metric, and hence pointwise bounded. Also, G is equicontinuous. Since $F \subset G$, F is equicontinuous and pointwise bounded.

(\Leftarrow) Note that G is closed in the complete space \mathbb{R}^n and hence is complete. Let $\epsilon > 0$ and $x_0 \in X$ be given. Since F is equicontinuous, choose U_{x_0} such that $d(f(x), f(y)) < \frac{\epsilon}{3} \quad \forall f \in F, \forall x, y \in U_{x_0}$. Given $g \in G$, choose $f \in F$ such that

$\rho(f, g) < \frac{\epsilon}{3}$. Then $d(g(x), g(y)) < \frac{\epsilon}{3} \quad \forall x, y \in U_{x_0}$ by triangle inequality. Hence G is equicontinuous. Now let $x_0 \in X$

be given. Given any $g_1, g_2 \in G$ choose $f_1, f_2 \in F$ such that $\rho(f_1, g_1) < 1$ and $\rho(f_2, g_2) < 1$. Since F is pointwise bounded, $d(f_1(x_0), f_2(x_0)) \leq M \quad \forall f_1, f_2 \in F$, and hence $d(g_1(x_0), g_2(x_0)) \leq M + 2$. So G is pointwise bounded.

Now, for each $a \in X$, choose U_a such that $d(g(x), g(y)) < 1 \quad \forall g \in G, \forall x, y \in U_a$. Since X compact, cover it with

U_{a_1}, \dots, U_{a_k} . Since G is pointwise bounded, $\bigcup_{i=1}^k \{g(a_i)\}_{g \in G}$ is bounded, so suppose it lies in $B_N(0) \subset \mathbb{R}^n$. Then

$g(X) \subset B_{N+1}(0) \quad \forall g \in G$. Let $Y = \overline{B_{N+1}(0)}$, which is compact. Then $G \subset C(X, Y)$ is totally bounded under ρ .

Therefore, G is compact since it is complete and totally bounded.

Corollary

Let X be compact. Let $F \subset C(X, \mathbb{R}^n)$. F is compact if and only if F is closed and bounded under the sup metric, and equicontinuous.

(\Rightarrow) If F is compact, it is closed and bounded. Since $\bar{F} = F$, it is equicontinuous.

(\Leftarrow) F is closed, so $\bar{F} = F$. F is bounded under the sup metric, so it is pointwise bounded. Also, F is equicontinuous.

So F has a compact closure. But F is closed, so $\bar{F} = F$ is compact.

COMPACT-OPEN TOPOLOGY

Definition: Compact-Open Topology

Let X and Y be topological spaces. Describe a basis for $C(X, Y)$ as follows. $S(K, U) \stackrel{\text{def}}{=} \{f \in C(X, Y) \mid f(K) \subset U\}$ is open if $U \subset Y$ is open and $K \subset X$ is compact.

Definition: Evaluation Map

The map $ev: C(X, Y) \times X \rightarrow Y$ defined by $ev((f, x)) = f(x)$ is called the evaluation map.

Theorem

If $C(X, Y)$ has the compact-open topology and X is locally compact Hausdorff, then $ev: C(X, Y) \times X \rightarrow Y$ is continuous.

Proof: Let $(f, x) \in C(X, Y) \times X$ and a neighborhood $V \subset Y$ of $ev((f, x)) = f(x)$ be given. By the continuity of f , $f^{-1}(V)$ is open and contains x . Since X is locally compact Hausdorff, there exists a neighborhood U of x such that its compact closure $\bar{U} \subset f^{-1}(V)$, and hence $f(\bar{U}) \subset V$. Let $K = \bar{U}$. Then $(f, x) \in S(K, V) \times U$ is open, and $ev(S(K, V), U) \subset V$.

Definition

Given a function $f: Z \times X \rightarrow Y$, it gives rise to a function $F: Z \rightarrow Y^X$ defined by $F(z)(x) = f(z, x)$.

Conversely, given $F: Z \rightarrow Y^X$, there is a corresponding function $f: Z \times X \rightarrow Y$ given by $f(z, x) = F(z)(x)$. F is the map induced by f .

Theorem

Give $C(X, Y)$ the compact-open topology. If $f: Z \times X \rightarrow Y$ is continuous, then $F: Z \rightarrow C(X, Y)$ is continuous. Conversely, if $F: Z \rightarrow C(X, Y)$ is continuous and X is locally compact Hausdorff, then $f: Z \times X \rightarrow Y$ is continuous.

Proof:

(\Rightarrow) Suppose $f: Z \times X \rightarrow Y$ is continuous. Let $z \in Z$ and $F(z) \in S(K, U)$ in $C(X, Y)$ be given. By continuity of f , $f^{-1}(U) \subset Z \times X$ is open and contains $z \times K$. Since K is compact, the tube lemma implies there is a neighborhood W of z such that $W \times K \subset f^{-1}(U)$. Hence $F(W)(K) = f(W, K) \subset U$, so F is continuous.

(\Leftarrow) Suppose $F: Z \rightarrow C(X, Y)$ is continuous. Then $j: Z \times X \rightarrow C(X, Y) \times X$ given by $j(z, x) = (F(z), x)$ is continuous. Then $ev: C(X, Y) \times X \rightarrow Y$ is continuous since $C(X, Y)$ has the compact-open topology and X is locally compact Hausdorff. Therefore, $ev \circ j: Z \times X \rightarrow Y$ given by $(ev \circ j)(z, x) = ev(F(z), x) = F(z)(x) = f(z, x)$ is continuous.

Baire Spaces and Dimension Theory

BAIRE SPACES

Definition: Baire Space

Baire space is a space in which the intersection of a countable collection of open and dense sets is dense. That is, if

$\{U_n\}_{n \in \mathbb{N}}$ are open and dense sets, $\bigcap_{n \in \mathbb{N}} U_n$ is dense.

Proposition

X is a Baire space if and only if the countable union of closed sets without interior has no interior, i.e. if $\{A_i\}_{i \in \mathbb{N}}$ are closed and $\text{int}(A_i) = \emptyset \quad \forall i \in \mathbb{N}$ then $\text{int}\left(\bigcup_{i \in \mathbb{N}} A_i\right) = \emptyset$.

Proof:

(\Rightarrow) A_i^c is open. If $U \neq \emptyset$ is open, then $U \not\subset A_i$ since $\text{int}(A_i) = \emptyset$. So $U \cap A_i^c \neq \emptyset$, hence A_i^c is dense (since $\overline{A_i^c} = X$). Since X is a Baire space so $\bigcap_{i \in \mathbb{N}} A_i^c$ is dense, i.e. $U \cap \bigcap_{i \in \mathbb{N}} A_i^c \neq \emptyset$ for all open U . Therefore $U \not\subset \bigcup_{i \in \mathbb{N}} A_i$, so $\bigcup_{i \in \mathbb{N}} A_i$ has no interior.

Definition: Residual

A set A in a Baire space X is residual if it contains the intersection of a countable family of open and dense sets.

Proposition

If A and B are residual, $A \cap B$ is residual.

If A_i is residual, $\bigcap_{i \in \mathbb{N}} A_i$ is residual.

Theorem: Baire Category Theorem

If X is compact Hausdorff or complete metric, then X is a Baire space.

Proof: Suppose $\{A_i\}_{i \in \mathbb{N}}$ is a family of closed sets with no interior. Want: $\bigcup_{i \in \mathbb{N}} A_i$ has no interior, i.e. given any open set U there is a point $x \in U$ and $x \notin \bigcup_{i \in \mathbb{N}} A_i$.

We wish to construct U_i such that $\overline{U_i} \subset U_{i-1}$, $U_i \cap A_i = \emptyset$, $\bigcap \overline{U_i} \neq \emptyset$. Then let $x \in \bigcap \overline{U_i}$, so $x \in U_i \quad \forall i$ and $x \in U_0$. Then $x \notin A_i \quad \forall i$ and hence $x \notin \bigcup_{i \in \mathbb{N}} A_i$.

A_1 has empty interior, so there exists U_0 such that $x \in U_0$ and $x \notin A_1$. Since X is normal, there exists U_1 such that $x \in U_1$, $\overline{U_1} \subset U_0$, and $\overline{U_1} \cap A_1 = \emptyset$. Now assume U_i is constructed. A_{i+1} has empty interior, so there exists $x_{i+1} \in U_i - A_{i+1}$, hence there exists U_{i+1} such that $x_{i+1} \in U_{i+1}$, $\overline{U_{i+1}} \subset U_i$, and $\overline{U_{i+1}} \cap A_{i+1} = \emptyset$ since X is normal. If X is compact Hausdorff, $\{\overline{U_i}\}_{i \in \mathbb{N}}$ is a family of non-empty nested compact sets, so $\bigcap \overline{U_i} \neq \emptyset$.

If X is complete metric, add $\text{diam } \overline{U_i} \leq \frac{1}{i}$. Then x_i is Cauchy, so $x_i \rightarrow x$. Now $x \in \overline{U_i} \quad \forall i$, so $x \in \bigcap \overline{U_i} \neq \emptyset$.

Fact

$\mathbb{Q} \subset \mathbb{R}$ is not residual. Given any $q \in \mathbb{Q}$, $U_q = \mathbb{R} - \{q\}$ is open and dense. But $\left(\bigcap_{q \in \mathbb{Q}} U_q\right) \cap \mathbb{Q} = \emptyset$, so \mathbb{Q} does not contain the intersection of a countable family of open and dense sets.

Theorem

Consider $F \subset C(I, \mathbb{R})$ where $F = \{f \in C(I, \mathbb{R}) \mid f \text{ is not differentiable at any point } x \in I\}$. Then F is residual in $C(I, \mathbb{R})$.

Proof: Construct a countable family of open dense sets in $C(I, \mathbb{R})$ whose intersection is contained in F . To be differentiable, $\lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h}$ exists. Construct the family $\{f_k\}_{k \in \mathbb{N}}$ where the limit is larger than k for h small enough.

Proposition

Any open set in a Baire space is a Baire space.

Proof: Let $U \subset X$ be open. Let $\{A_i\}_{i \in \mathbb{N}}$ be closed subsets of U with empty interior. $A_i = a_i \cap U$ where a_i closed in X . $a_i \cap \overline{U}$ has no interior, for if it did, let $v \in V \subset \text{int}(a_i \cap \overline{U})$, but $V \cap U \neq \emptyset$ and is open, and that $V \cap U \subset \text{int}(A_i)$, so contradiction. Hence $\{a_i \cap \overline{U}\}_{i \in \mathbb{N}}$ are closed in X with no interior. Since X is a Baire space, $\bigcup (a_i \cap \overline{U})$ has no interior. Therefore, $\bigcup A_i$ have no interior in U .

Theorem

Let X be a Baire space and (Y, d) be a metric space. Let $f_n: X \rightarrow Y$ be a sequence of continuous functions that converge pointwise for all $x \in X$. Then $f = \lim f_n$ is continuous on a dense set of points in X .

Proof: Let $A_N(\varepsilon) = \{x \mid d(f_m(x), f_n(x)) \leq \varepsilon \ \forall n, m > N\}$. $A_N(\varepsilon)$ is closed. $\bigcup_N A_N(\varepsilon) = X$. Now for any open $U \subset X$, $\bigcup (A_N(\varepsilon) \cap U) = U$, so at least one $A_N(\varepsilon) \cap U$ has interior. Let $U_\varepsilon = \bigcup \text{int}(A_N(\varepsilon))$ is open and dense. Let $\varepsilon = \frac{1}{n}$.

Then $\Lambda = \bigcap U_{1/n}$ is residual.

Claim: f is continuous at each point of Λ . Let $x \in \Lambda$ and fix $\varepsilon > 0$. Take $\frac{1}{4n} < \varepsilon$. Then $x \in U_{1/4n}$ and

$x \in \text{int}\left(A_N\left(\frac{1}{4n}\right)\right)$ for some N so there exists $U_x \subset A_N\left(\frac{1}{4n}\right)$. So for every $y \in U_x$ and for all $n, m > N$,

$d(f_n(y), f_m(y)) < \frac{1}{4n} \Rightarrow d(f_n(y), f(y)) \leq \frac{1}{4n}$. Choose $m > N$. There exists V_x such that

$y \in V_x \Rightarrow d(f_m(x), f_m(y)) \leq \frac{1}{4n}$. Then for $x, y \in U_x \cap V_x$,

$d(f(x), f(y)) \leq d(f_m(x), f(x)) + d(f_m(x), f_m(y)) + d(f_m(y), f(y)) \leq \frac{1}{4n} + \frac{1}{4n} + \frac{1}{4n} = \frac{3}{4n} < \varepsilon$.