

# Topological spaces and neighborhood filters

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If  $X$  is a set, a *filter* on  $X$  is a set  $\mathcal{F}$  of subsets of  $X$  such that  $\emptyset \notin \mathcal{F}$ ; if  $A, B \in \mathcal{F}$  then  $A \cap B \in \mathcal{F}$ ; if  $A \subseteq X$  and there is some  $B \in \mathcal{F}$  such that  $B \subseteq A$ , then  $A \in \mathcal{F}$ . For example, if  $x \in X$  then the set of all subsets of  $X$  that include  $x$  is a filter on  $X$ .<sup>1</sup> A *basis* for the filter  $\mathcal{F}$  is a subset  $\mathcal{B} \subseteq \mathcal{F}$  such that if  $A \in \mathcal{F}$  then there is some  $B \in \mathcal{B}$  such that  $B \subseteq A$ .

If  $X$  is a set, a *topology* on  $X$  is a set  $\mathcal{O}$  of subsets of  $X$  such that:  $\emptyset, X \in \mathcal{O}$ ; if  $U_\alpha \in \mathcal{O}$  for all  $\alpha \in I$ , then  $\bigcup_{\alpha \in I} U_\alpha \in \mathcal{O}$ ; if  $I$  is finite and  $U_\alpha \in \mathcal{O}$  for all  $\alpha \in I$ , then  $\bigcap_{\alpha \in I} U_\alpha \in \mathcal{O}$ . If  $N \subseteq X$  and  $x \in X$ , we say that  $N$  is a *neighborhood* of  $x$  if there is some  $U \in \mathcal{O}$  such that  $x \in U \subseteq N$ . In particular, an open set is a neighborhood of every element of itself. A *basis* for a topology  $\mathcal{O}$  is a subset  $\mathcal{B}$  of  $\mathcal{O}$  such that if  $x \in X$  then there is some  $B \in \mathcal{B}$  such that  $x \in B$ , and such that if  $B_1, B_2 \in \mathcal{B}$  and  $x \in B_1 \cap B_2$ , then there is some  $B_3 \in \mathcal{B}$  such that  $x \in B_3 \subseteq B_1 \cap B_2$ .<sup>2</sup>

On the one hand, suppose that  $X$  is a topological space with topology  $\mathcal{O}$ . For each  $x \in X$ , let  $\mathcal{F}_x$  be the set of neighborhoods of  $x$ ; we call  $\mathcal{F}_x$  the *neighborhood filter* of  $x$ . It is straightforward to verify that  $\mathcal{F}_x$  is a filter for each  $x \in X$ . If  $N \in \mathcal{F}_x$ , there is some  $U \in \mathcal{F}_x$  that is open, and for each  $y \in U$  we have  $N \in \mathcal{F}_y$ .

On the other hand, suppose  $X$  is a set, for each  $x \in X$  there is some filter  $\mathcal{F}_x$ , and: if  $N \in \mathcal{F}_x$  then  $x \in N$ ; if  $N \in \mathcal{F}_x$  then there is some  $U \in \mathcal{F}_x$  such that if  $y \in U$  then  $N \in \mathcal{F}_y$ . We define  $\mathcal{O}$  in the following way: The elements  $U$  of  $\mathcal{O}$  are those subsets of  $X$  such that if  $x \in U$  then  $U \in \mathcal{F}_x$ . Vacuously,  $\emptyset \in \mathcal{O}$ , and it is immediate that  $X \in \mathcal{O}$ . If  $U_\alpha \in \mathcal{O}$ ,  $\alpha \in I$  and  $x \in U = \bigcup_{\alpha \in I} U_\alpha$  then there is at least one  $\alpha \in I$  such that  $x \in U_\alpha$  and so  $U_\alpha \in \mathcal{F}_x$ . As  $x \in U_\alpha \subseteq U$  and  $\mathcal{F}_x$  is a filter, we get  $U \in \mathcal{F}_x$ . If  $I$  is finite and  $U_\alpha \in \mathcal{O}$ ,  $\alpha \in I$ , let  $U = \bigcap_{\alpha \in I} U_\alpha$ . If  $x \in U$ , then for each  $\alpha \in I$ ,  $x \in U_\alpha$ , and hence for each  $\alpha \in I$ ,  $U_\alpha \in \mathcal{F}_x$ . As  $\mathcal{F}_x$  is a filter, the intersection of any two elements of it is an element of it, and thus the intersection of finitely many elements of it is an element of it, so  $U \in \mathcal{F}_x$ , showing that  $U \in \mathcal{O}$ . This shows that  $\mathcal{O}$  is a topology. We will show that a set  $N$  is a neighborhood of a point  $x$  if and only if  $N \in \mathcal{F}_x$ .

If  $N \in \mathcal{F}_x$ , then let  $V = \{y \in N : N \in \mathcal{F}_y\}$ . There is some  $U_0 \in \mathcal{F}_x$  such

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<sup>1</sup>cf. François Trèves, *Topological Vector Spaces, Distributions and Kernels*, p. 6.

<sup>2</sup>cf. James R. Munkres, *Topology*, second ed., p. 78.

that if  $y \in U_0$  then  $N \in \mathcal{F}_y$ . If  $y \in U_0$  then  $N \in \mathcal{F}_y$ , which implies that  $y \in N$ , and hence  $U_0 \subseteq V$ .  $U_0 \subseteq V$  and  $U_0 \in \mathcal{F}_x$  imply that  $V \in \mathcal{F}_x$ , which implies that  $x \in V$ . If  $y \in V$  then  $N \in \mathcal{F}_y$ , and hence there is some  $U \in \mathcal{F}_y$  such that if  $z \in U$  then  $N \in \mathcal{F}_z$ . If  $z \in U$  then  $N \in \mathcal{F}_z$ , which implies that  $z \in N$ , and hence  $U \subseteq V$ .  $U \subseteq V$  and  $U \in \mathcal{F}_y$  imply that  $V \in \mathcal{F}_y$ . Thus, if  $y \in V$  then  $V \in \mathcal{F}_y$ , which means that  $V$  is open,  $x \in V \subseteq N$  tells us that  $N$  is a neighborhood of  $x$ .

If a set  $N$  is a neighborhood of a point  $x$ , then there is some open set  $U$  with  $x \in U \subseteq N$ .  $U$  being open means that if  $y \in U$  then  $U \in \mathcal{F}_y$ . As  $x \in U$  we get  $U \in \mathcal{F}_x$ , and as  $U \subset N$  we get  $N \in \mathcal{F}_x$ . Therefore a set  $N$  is a neighborhood of a point  $x$  if and only if  $N \in \mathcal{F}_x$ .

In conclusion: If  $X$  is a topological space and for each  $x \in X$  we define  $\mathcal{F}_x$  to be the neighborhood filter of  $x$ , then these filters satisfy the two conditions that if  $N \in \mathcal{F}_x$  then  $x \in N$  and that if  $N \in \mathcal{F}_x$  there is some  $U \in \mathcal{F}_x$  such that if  $y \in U$  then  $N \in \mathcal{F}_y$ . In the other direction, if  $X$  is a set and for each point  $x \in X$  there is a filter  $\mathcal{F}_x$  and the filters satisfy these two conditions, then there is a topology on  $X$  such that these filters are precisely the neighborhood filters of each point.